



Committee/Group Chair's Report

Agenda Item: P1/013/20

Name of Committee/Group	Audit Committee	Reporting to:	Trust Board
Date of the meeting:	21 January 2020	Parent Committee:	Trust Board
Chair:	Mark Tattersall	Quorate (Y/N)	Y

Agenda Item:	RAG	Key Points	Actions Required/Action Lead	Expected Date for Completion
External Audit		The Committee received an update regarding the ongoing process to appoint an External Auditor, which has been out to tender on two occasions. The second tender process was also unsuccessful.	The Trust has approached firms qualified to provide the appropriate service to explore the potential to appoint by Direct Award. NHSI/E liaison with the Trust and potential suppliers is ongoing.	Ongoing
Board Assurance Framework – Quarter 3		The Committee received and noted the Quarter 3 report, which provided details of changes to the BAF risk ratings. The Committee noted that the recently developed structure and process now allows the Trust to focus on the key risks that may compromise the achievement of its strategic objectives.	It was noted that the BAF remains a work in progress and several amendments will be implemented, prior to submission of the document to February Trust Board.	26 February 2020
MIAA – Internal Audit Progress Report		CQC Action Plan Review – The Committee noted that substantial assurance had been received following the recent review by MIAA. A number of key areas of good practice were identified and 1 Medium and 1 Low recommendation were identified for action.	It is important that the Trust continues to ensure that the action plan is accurate and reflects updates in respect of action owners, superseded actions, and steps taken to address any outstanding actions.	June 2020

Agenda Item:	RAG	Key Points	Actions Required/Action Lead	Expected Date for Completion
MIAA – Internal Audit Progress Report		Financial Systems and Reporting Integrity Review – The Committee noted that substantial assurance had been received. A number of key areas of good practice were identified, with 1 Medium and 4 Low recommendations identified for action.	Work will continue with budget holders to ensure the Finance System Purchase Order function is utilised, and that the other recommendations are actioned.	March 2020
Quality Improvement Clinical Audit Annual Report 2018/19		The Committee received and considered the Annual Report, which provided details of activity and achievements in 2018/19 and delivered greater clarification on the Clinical Audit management and governance processes.	It was noted that currently there is not a link to the MIAA work plan, but that this has been considered and is currently under review.	Ongoing
Data Security & Protection Toolkit Update 2019/2020		The Committee received and discussed the update, which shows good progress in delivering compliance in respect of the 116 mandatory requirements within the toolkit.	Additional information will be submitted for consideration, as a part of the MIAA Phase 2 review, by 24 January 2020.	31 March 2020

KEY

	ALERT the Committee on areas of non-compliance or matters that need addressing urgently
	ADVISE the Committee on any on-going monitoring where an update has been provided to the sub-committee and any new developments that will need to be communicated or included in operational delivery
	ASSURE the Committee on any areas of assurance that the Committee/Group has received